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EXAMINER

GREENE, DANIEL L

ART UNIT

PAPER NUMBER

3621

DATE MAILED: 07/24/2003

Please find below and/or attached an Office communication concerning this application or proceeding.

# Office Action Summary

Applicati n N .

09/541,443

Applicant(s)

DEDRICK ET AL.

Examiner

Daniel L. Greene

Art Unit

3621

-- The MAILING DATE of this communication appears on the cover sheet with the c rrespondence address --  
Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).
- Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

## Status

- 1) ☒ Responsive to communication(s) filed on 09 July 2003 .
- 2a) ☐ This action is **FINAL**.                      2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

## Disposition of Claims

- 4) ☒ Claim(s) 1-5, 7-28 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-5 and 7-28 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

## Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
- Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
- 11) ☐ The proposed drawing correction filed on \_\_\_\_\_ is: a) ☐ approved b) ☐ disapproved by the Examiner.
- If approved, corrected drawings are required in reply to this Office action.
- 12) ☐ The oath or declaration is objected to by the Examiner.

## Priority under 35 U.S.C. §§ 119 and 120

- 13) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_ .
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- \* See the attached detailed Office action for a list of the certified copies not received.
- 14) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application).
- a) ☐ The translation of the foreign language provisional application has been received.
- 15) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.

## Attachment(s)

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☐ Information Disclosure Statement(s) (PTO-1449) Paper No(s) \_\_\_\_\_ .
- 4) ☐ Interview Summary (PTO-413) Paper No(s). \_\_\_\_\_ .
- 5) ☐ Notice of Informal Patent Application (PTO-152)
- 6) ☐ Other: \_\_\_\_\_ .

## **DETAILED ACTION**

### ***Response to Arguments***

1. Applicant's arguments, see Amendment C, filed 7/9/03, with respect to the rejection(s) of claim(s) 1-5, 7-28 under Robert '863, Wyman '879 and Ginter '900 have been fully considered and are persuasive. Therefore, the rejection has been withdrawn. However, upon further consideration, a new ground(s) of rejection is made in view of Leyba et al. U.S. Patent 5,911,045 and Johnson et al. U.S. Patent 5,023,907.

The Applicant argues that Robert does not disclose a plurality of user accounts where one of the user, provides/assigns a license for the use of their electronic asset. The Examiner discussed this limitation with the attorney's representative, Lisa Tom on Tuesday, 7/15/03. Miss Tom clarified that the unique feature is at least one of the users provides a license for an electronic asset. Robert '863 teaches about managing software licenses only and therefore not applicable for a 102 rejection.

Upon further analysis, it would appear that the unique feature of the application is the limitation that in a database of users, at least one of the users provides a license for the other users to use an electronic asset. It would appear that the "user" could be any type of entity i.e., author, programmer, corporation, etc., that has an electronic asset they want to make available for use via their regulations. Ginter '900 discloses electronic rights protection but not the limitation of the provider being in a user database. The new art presented, Leyba '045 discloses the concept of users registered over a network to share information. Robert '863 discloses the methods and structures

Art Unit: 3621

for managing licensed programs that are to be shared. Wyman '879 discloses a vendor/producer electronic asset licensing system.

It would appear, that if the vendor/producer of Wyman '879 becomes a user in a database and presents his electronic asset for use, the limitations of the application have been met. It would have been obvious to a person skilled in the art at the time the invention was made to use the marketing technique of joining an organization to be able to network and get greater exposure to their electronic asset.

### ***Claim Rejections - 35 USC § 103***

2. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

**Claims 1-3, 12-13, 16-19,21, are rejected under 35 U.S.C. 103(a) as being unpatentable over Robert et al. US 4937863 [Robert'863], and further in view of Leyba et al. U.S. Patent 5,911,045 [Leyba '045].**

As per claim 1.

Robert '863 discloses:

an electronic asset lending library database containing a plurality of user accounts. Fig. 1, 10

Art Unit: 3621

an account manager to maintain the user accounts; Fig. 1, 10

an asset manager to maintain the licenses for the electronic assets; Fig. 1, 12

a loan manager to control the assignment of the license for the electronic asset to another user having another one of the plurality of user accounts; Fig. 1, 15

Robert '863 discloses the claimed invention except for at least one license for an unused electronic asset assigned by a user having one of the plurality of user accounts. Leyba '045 teaches that it is known in the art to provide a plurality of users being registered over a network to share information. Col. 1, lines 50-67. It would have been obvious to one having ordinary skill in the art at the time the invention was made to provide the electronic asset lending library database of Robert '863 with a plurality of users being registered over a network to share information of Leyba '045, in order to provide the users with the opportunity to network to entities that have similar interest and more inclined to use their electronic asset.

As per claim 2.

Robert '863 further discloses:

a notification manager to notify an assignee of the license for the electronic asset when the loan manager approves an assignment to the assignee *of the* license for the electronic asset. Col. 5, lines 30-68.

As per claim 3.

Robert '863 further discloses:

the account manager further maintains a subset of the plurality of user accounts as members of a one of a plurality of communities; Fig. 1, 17 ( group license table)

the asset manager further maintains ownership of the license by one of the communities; Fig. 1, 12 ( license unit table)

and

the loan manager restricts the assignment of the license for the electronic asset to an assignee having a user account in the community having ownership of the license. Col.4, lines 1-47.

Robert '863 discloses the claimed invention except for restricting the assignment of the license for the electronic asset to an assignee having a user account in the community having ownership of the license. Leyba '045 teaches that it is known in the art to provide a plurality of users being registered over a network to share information. Col. 1, lines 50-67. It would have been obvious to one having ordinary skill in the art at the time the invention was made to provide the electronic asset lending library database of Robert '863 with a plurality of users being registered over a network to share information of Leyba '045, in order to provide the users with the opportunity to network to entities that have similar interest and more inclined to use their electronic asset.

Art Unit: 3621

As per claim 12.

Robert '863 discloses:

manage a plurality of user accounts; Fig. 1, **10** (License Management Facility)

manage\_at least one license for an electronic asset assigned by a user having one of the plurality of user accounts; Fig. 1, **14** (Licensed Program)

control the assignment of the at least one license for the used electronic asset to another user having another one of *the* plurality of user accounts; Fig. 1, **15** (Licensing Policy Module)

notify the assignee when an assignment to the assignee of the license for the electronic asset is approved. Col. 5, lines 30-46.

As per claim 13.

Robert '863 discloses:

manage the plurality of user accounts ... to associate each user account with a one of a plurality of communities; Fig. 1, **17** (Group license table) Col. 3, lines 3-25.

manage\_the license ... to associate the license with a one of the plurality of communities and assign the license to one of the plurality of user accounts; Fig. 1, **12** (License unit tables)

control the assignment of the at least one license\_... to restrict\_the assignment of the license to eligible assignees, wherein an eligible assignee is associated with the same community as the license. Fig. 1, **15** (Licensing Policy Module) Col. 3, lines 40-68.

Art Unit: 3621

As per claim 16.

Robert '863 discloses:

managing a plurality of user accounts; Fig. 1, **10** (License Management Facility)

managing licenses for a plurality of electronic assets; Fig. 1, **14** (Licensed Program)

receiving a request from a user of one of the plurality of user accounts to assign an electronic asset to another user of another of the plurality of user accounts; Fig.

4A-1

generating a license and associated license restrictions for the electronic asset according to the restrictions specified by the user requesting the assignment .

Fig. 4A-1

adding the license of the electronic asset to the plurality of managed used electronic assets; Col. 6, lines 9-60

controlling the assignment of each license for the plurality of electronic assets to an assignee having one of the plurality of user accounts. Col. 6 & 7, lines 1-67.

As per claim 17.

Robert '863 further discloses:

managing the plurality of user accounts includes associating each user account with a one of a plurality of communities; Col. 3, lines 3-25.



Art Unit: 3621

managing the licenses includes associating each license with one of the plurality of communities and assigning each license to one of the plurality of user accounts; Col. 3, lines 40-68.

controlling further includes restricting the assignment of licenses to an assignee that is associated with the same community as the license. Col. 8, lines 4-20.

As per claim 18.

Robert '863 further discloses:

wherein the one of a plurality of communities is an organizational entity, including a division, department or team. Col. 3, lines 3-25.

As per claim 19.

Robert '863 further discloses:

displaying the plurality of unused electronic assets. Col. 3, lines 40-68, Col. 4, lines 1-68.

As per claim 21.

Robert '863 further discloses:

managing the plurality of user accounts includes adding or deleting each user account into at least one of a plurality of access control lists . Col. 3, lines 1-67.

managing the license includes associating the used electronic asset with a one of the plurality of access control lists; Col. 3, lines 1-67.

Art Unit: 3621

controlling further includes restricting the assignment of a license to a an assignee listed on the access control list to which the electronic asset is assigned.

Col. 3 & 4, lines 1-67.

**Claims 22-26 are rejected under 35 U.S.C. 103(a) as being unpatentable over Wyman U.S. 5,745,879 [Wyman '879], and further in view of Leyba et al. U.S. Patent 5,911,045 [Leyba '045].**

As per claim 22.

managing a plurality of user accounts; Col. 6, lines 13-25

generating a license and associated license restrictions for an electronic asset on a lending library server, the electronic asset assigned\_by a user having one of the plurality of user accounts; Col. 6, lines 13-25.

displaying to an assignee having another one of the plurality of user accounts the license and associated license restrictions on a lending library client; Col. 6, lines 39-68, Col. 7, lines 1-5.

receiving an assignee's selection for the license and transferring the license to the assignee if eligible in accordance with the associated. license restrictions; Col. 6, lines 1-35

transmitting to the assignee instructions for the installation of the used electronic asset in compliance with the transferred license on the lending library client. Col. 6, lines 1-35.

Wyman '879 discloses the claimed invention except for specifying a plurality of user accounts. Leyba '045 teaches that it is known in the art to provide a plurality of users being registered over a network to share information. Col. 1, lines 50-67. It would have been obvious to one having ordinary skill in the art at the time the invention was made to provide the electronic asset lending library database of Wyman '879 with a plurality of users being registered over a network to share information of Leyba '045, in order to provide the users with the opportunity to network to entities that have similar interest and more inclined to use their electronic asset.

As per claim 23.

Wyman '879 further discloses:

wherein the lending library server and the lending library client are connected to a communications network. Fig. 1, **21,22**.

As per claim 24.

Wyman '879 further discloses:

wherein the associated license restrictions require the assignee to belong to a community, the community being the same community to which the unused electronic asset belongs. Col.22, lines 62-68, Col. 23, lines 1-23.

As per claim 25.

Wyman '879 further discloses:

wherein the associated license restrictions further require the assignee to be listed on an access control list associated with the electronic asset. Col. 24, lines 3-68.

As per claim 26.

Wyman '879 further discloses:

wherein the community is an organizational entity, including a division, department or team. Col. 21, lines 47-68, Col. 22, lines 1-20.

**Claims 4-5,7-10, 11,14-15,20,27,28 are rejected under 35 U.S.C. 103(a) as being unpatentable over Robert et al. US 4937863 [Robert'863], Ginter et al. US 5892900 [Ginter'900], Leyba '045, and further in view of Wyman US 5745879 [Wyman'879]**

As per claim 4.

Robert '863 further discloses:

the account manager further maintains a subset of the plurality of user accounts as members of a one of a plurality of access control lists; Fig. 1, 17 (Group License Table).

Ginter'900 discloses an Access Control List (ACL) that provide "go/no-go" permissions in an analogous art for the purpose of providing full control over pre-defined and user-defined application events. Col. 85, lines 20-25.

It would have been obvious to a person of ordinary skill in the art at the time the invention was made to call Robert'863 license data-base, Ginter'900's Access Control List (ACL) because they both control the use of the various applications.

the asset manager further maintains an association between the access control list and the electronic asset; Col. 6, lines 9-30.

the loan manager restricts the assignment\_of the license for the electronic asset to an assignee\_having a user account in an access control list associated with the electronic asset. Col. 5, lines 1-10.

As per claim 5.

Robert '863 further discloses:

wherein the access control list restriction is overridden in response to a request by an assignee\_not having a user account in the access control list associated with the electronic asset. Col. 4, lines 48-68, Col. 5, lines 1-10.

As per claim 7.

Robert '863 further discloses:

wherein the assignee notification is an electronic message addressed to the assignee and containing written instructions for installing the used electronic asset.  
Col. 5, lines 1-67.

Ginter '900 discloses as per Fig. 69-69D wherein the borrower notification is an electronic message addressed to the borrower and containing written instructions for installing for downloading the unused electronic asset.

It would have been obvious to a person of ordinary skill in the art at the time the invention was made to utilize the procedures of Ginter '900 in the notification procedures of Robert '863 to facilitate the clarity of the procedure and provide assistance to the user.

As per claim 8.

Robert '863 further discloses:

wherein the notification is communicated over a communications network.  
Col. 3, lines 15-20.

As per claim 9.

Roberts '863 discloses the claimed invention, as discussed above, except for the step of wherein the communications network is the Internet. It would have been an obvious matter of design choice to modify the teachings of Roberts '863, to provide the step of wherein the communications network is the Internet. Since the applicant has not disclosed that wherein the communications network is the Internet solves any stated

Art Unit: 3621

problem in a new or unexpected way or is for any particular purpose which is unobvious to one of ordinary skill and it appears that the claimed feature does not distinguish the invention over similar features in the prior art since, the teachings of Roberts '863 will perform the invention as claimed by the applicant with any means, method, or product to wherein the communications network is the Internet.

As per claim 10.

Roberts '863 discloses the claimed invention, as discussed above, except for the step of an electronic distribution mechanism to install the electronic asset on the assignee's computer in response to the approval of an assignment of a license corresponding to the electronic asset.

It would have been an obvious matter of design choice to modify the teachings of Roberts '863, to provide the step of an electronic distribution mechanism to install the electronic asset on the assignee's computer in response to the approval of an assignment of a license corresponding to the electronic asset.

Since the applicant has not disclosed that an electronic distribution mechanism to install the electronic asset on the assignee's computer in response to the approval of an assignment of a license corresponding to the electronic asset solves any stated problem in a new or unexpected way or is for any particular purpose which is unobvious to one of ordinary skill and it appears that the claimed feature does not distinguish the invention over similar features in the prior art since, the teachings of Roberts '863 will perform the invention as claimed by the applicant with any means,

Art Unit: 3621

method, or product to provide an electronic distribution mechanism to install the electronic asset on the assignee's computer in response to the approval of an assignment of a license corresponding to the electronic asset.

As per claim 11.

Robert'863 further discloses wherein a community is one of a plurality of types. Col.3, lines 3-25.

Robert'863 discloses the claimed invention except for the step of discloses wherein a community is one of a plurality of types of intra-organizational entities, including a project team, department, or division. It would have been an obvious matter of design choice to modify the teachings of Robert'863 to provide the step of discloses wherein a community is one of a plurality of types of intra-organizational entities, including a project team, department, or division. Since the applicant has not disclosed that discloses wherein a community is one of a plurality of types of intra-organizational entities, including a project team, department, or division solves any stated problem in a new or unexpected way or is for any particular purpose which is unobvious to one of ordinary skill and it appears that the claimed feature does not distinguish the invention over similar features in the prior art since, the teachings of Robert'863 will perform the invention as claimed by the applicant with any method, means, or product to discloses wherein a community is one of a plurality of types of intra-organizational entities, including a project team, department, or division.



Art Unit: 3621

As per claim 14.

Robert'863 shows lines of communications between the different functions of his invention but does not show displaying the electronic assets. However, it is inherent in computer systems to display data.

Robert'863 further discloses reassign the license of the electronic asset to another one of the user plurality of accounts in response to a selection of the unused electronic assets from the display by a borrower using another one of the plurality of user accounts. Col.4, lines 10-68.

As per claim 15.

Robert '863 discloses:

manage\_the plurality of user accounts includes instructions to add or delete each user account into at least one of a plurality of access control lists. Fig 1, 10

( License Management Facility)

manage the license includes instructions that associate\_the electronic asset with a one of the plurality of access control lists; Col. 6, lines 9-31.

control the assignment of the at least one license\_further includes ... to restrict the assignment of a license to an assignee listed on the access control list to which the electronic asset is assigned. Col. 5, lines 1-10.

As per claim 20.

Robert '863 discloses:

receiving a selection of one of the plurality of electronic assets from the display by an assignee using one of the plurality of user accounts, approving an assignment of the electronic asset to the assignee based on the license restrictions associated with the used electronic asset, and reassigning the license for the electronic asset to the assignee's user account. Col. 3 & 4, lines 1-67.

As per Claim 27.

Robert'863 discloses an electronic asset lending library database (Col.2, lines 63-68, Col. 4, lines 1-25) except for the step of wherein the electronic asset lending library database resides on a removable data storage device. It would have been an obvious matter of design choice to modify the teachings of Robert'863 to provide the step of wherein the electronic asset lending library database resides on a removable data storage device. Since the applicant has not disclosed that wherein the electronic asset lending library database resides on a removable data storage device solves any stated problem in a new or unexpected way or is for any particular purpose which is unobvious to one of ordinary skill and it appears that the claimed feature does not distinguish the invention over similar features in the prior art since, the teachings of Robert'863 will perform the invention as claimed by the applicant with any method, means, or product to wherein the electronic asset lending library database resides on a removable data storage device.

As per Claim 28.

Robert '863 discloses the claimed invention except for wherein the data storage device is a CD-ROM or a DVD-ROM. Wyman '879 teaches that it is known in the art to provide wherein the data storage device is a CD-ROM or a DVD-ROM. Col. 9, lines 49-60.

It would have been obvious to one having ordinary skill in the art at the time the invention was made to provide the electronic asset lending library of Robert '863 with the data storage device as a CD-ROM or a DVD-ROM of Wyman'879, in order to provide flexibility in the system.

Examiner's Note: Examiner has cited particular columns and line numbers in the references as applied to the claims below for the convenience of the applicant.

Although the specified citations are representative of the teachings in the art and are applied to the specific limitations within the individual claim, other passages and figures may apply as well. It is respectfully requested from the applicant, in preparing the responses, to fully consider the references in entirety as potentially teaching all or part of the claimed invention, as well as the context of the passage as taught by the prior art or disclosed by the examiner.

Art Unit: 3621

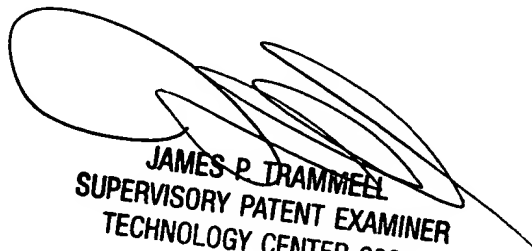
**Conclusion**

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Daniel L. Greene whose telephone number is 703-306-5539. The examiner can normally be reached on M-Thur. 8am-6pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, James P. Trammell can be reached on 703-305-9768. The fax phone numbers for the organization where this application or proceeding is assigned are 703-305-7687 for regular communications and 703-305-7687 for After Final communications.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is 703-308-1113.

DLG  
July 16, 2003

  
JAMES P. TRAMMELL  
SUPERVISORY PATENT EXAMINER  
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